# Regulating Tobacco Products: An International, Federal & State Level Perspective



# **Speakers**



**Dr. Brian King**Director, Food and Drug Administration's
Center for Tobacco Product



Mark Meaney
Director, Commercial Tobacco Control
Program at the Public Health Law Center



Moderator





# REGULATING TOBACCO PRODUCTS: AN INTERNATIONAL, FEDERAL, & STATE LEVEL PERSPECTIVE

Brian King, PhD, MPH
Director, FDA Center for Tobacco Products

Disclaimer: This is not a formal dissemination of information by FDA and does not represent Agency position or policy.



#### **AGENDA**







**Overview of CTP** 

Programmatic Updates



**Looking Ahead** 



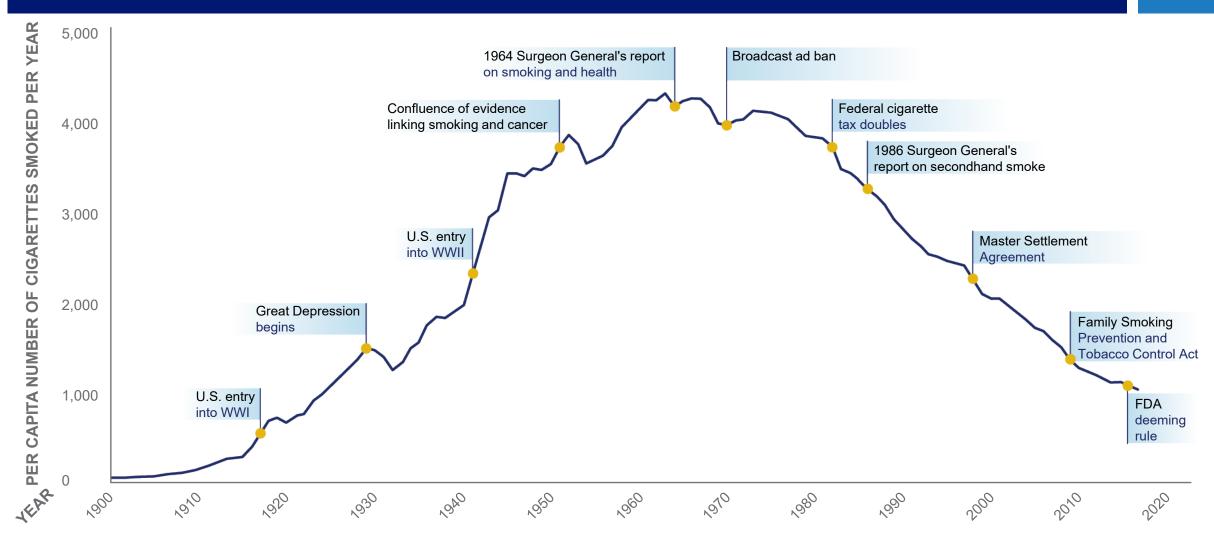


## CURRENT TOBACCO PRODUCT LANDSCAPE



#### **CIGARETTE CONSUMPTION 1900-2020**

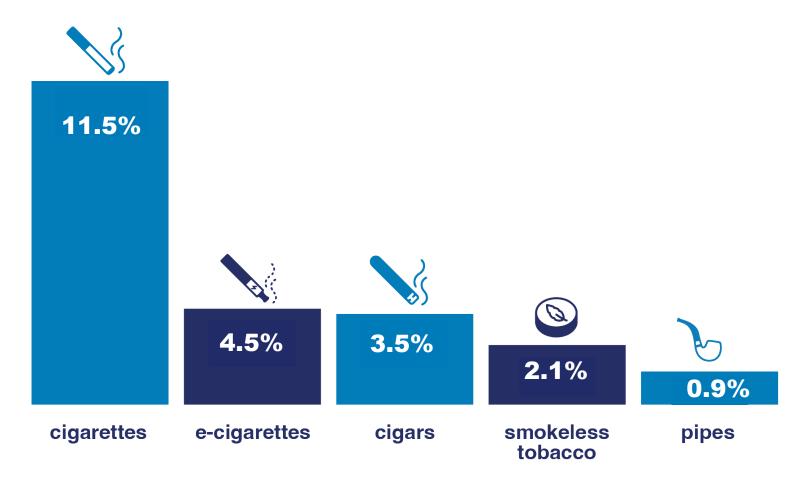




US Department of Health and Human Services. Smoking Cessation: A Report of the Surgeon General. Atlanta, GA: US Department of Health and Human Services, CDC; 2020.

## CURRENT ADULT USE OF TOBACCO PRODUCTS (2021)

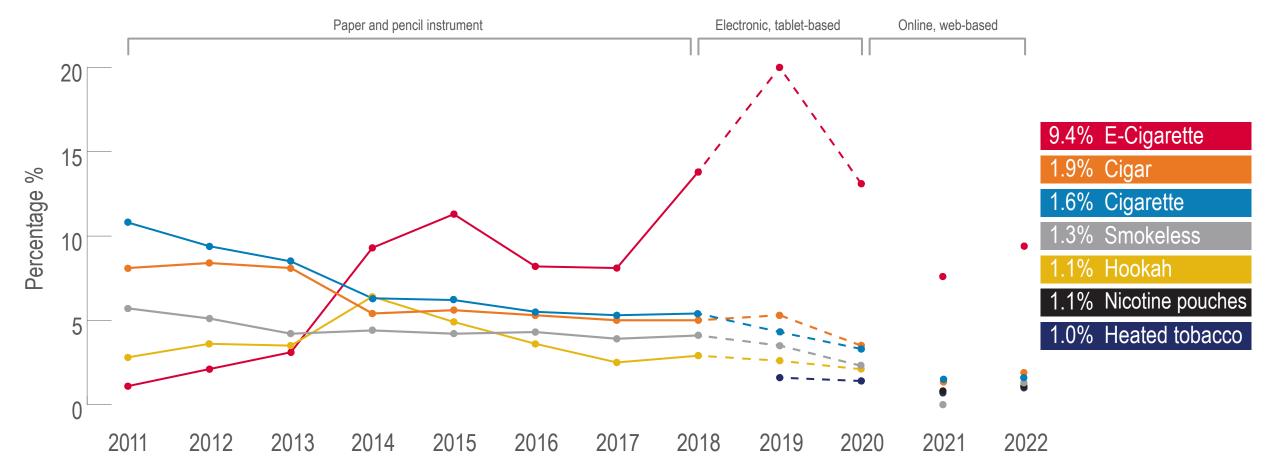




Cornelius ME, et al. "Tobacco Product Use Among Adults - United States, 2021". Centers for Disease Control and Prevention (CDC). MMWR.

# CURRENT TOBACCO PRODUCT USE AMONG MIDDLE AND HIGH SCHOOL STUDENTS (2022)





Dashed lines represent electronic data collection and solid lines represent data collection via paper and pencil instrument. The ability to compare results between 2022 and previous survey waves is limited because of methodological changes. NOTE: Smokeless tobacco use is defined as use of chewing tobacco, snuff, dip, snus, or dissolvable tobacco product on ≥1 day during the past 30 days.

SOURCE: National Youth Tobacco Survey (NYTS), 2011-2022

#### DYNAMIC MARKETPLACE



#### Brands Use Among Current E-cigarette Users Aged 16-19, United States

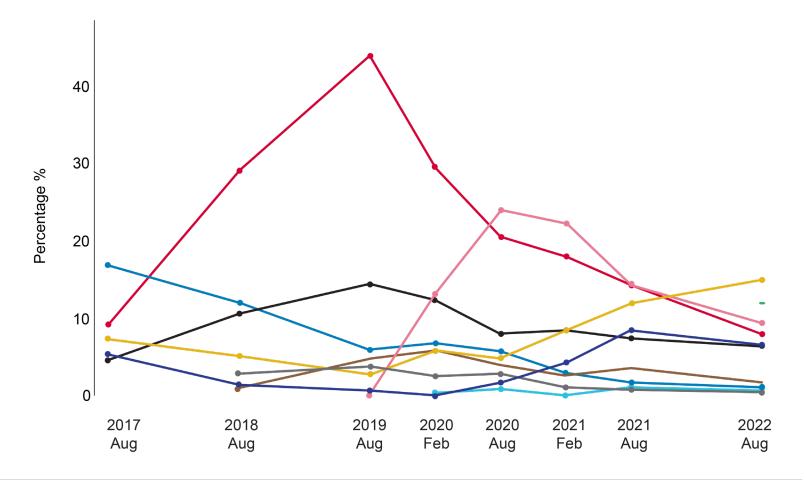


BluElf Bar

Puff BarNJOY

Suorin Vype/Vuse

HydeKanger Tech



Source: David Hammond. International Tobacco Control Survey.

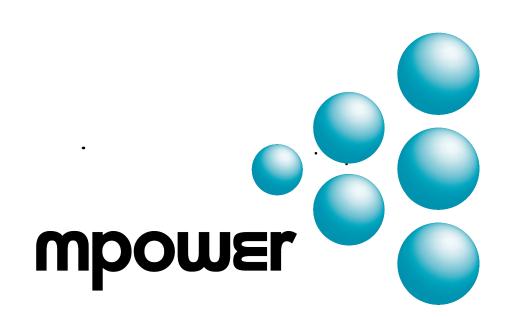


# **OVERVIEW OF CTP**



#### TOBACCO PRODUCT REGULATION GLOBALLY





- Monitor tobacco use & prevention policies
- Protect people from tobacco smoke
- Offer help to quit tobacco use
- Warn about the dangers of tobacco
- Enforce bans on tobacco advertising, promotion, & sponsorship
- Raise taxes on tobacco

#### TOBACCO CONTROL ACT





#### THE TOBACCO CONTROL ACT AUTHORITIES



#### CTP Authorities include:



Premarket review



Adverse event reporting



Post-market surveillance



New warning labels



Product standards



Ingredients testing & reporting



**HPHC** reporting



Advertising and promotion restrictions



User fees

#### CTP Authorities generally DO NOT include:



Setting tobacco tax rates



Requiring the reduction of nicotine yields to zero



Regulating therapeutic products

Regulating



Providing cessation services



Setting clean indoor air policies

tobacco growing



Banning all tobacco products



Changing the minimum age to purchase tobacco products

#### STATE/LOCAL PREEMPTION



The Tobacco Control Act does not limit state, local, and tribal governments from regulating tobacco products in many respects, such as:



**Smokefree Indoor Laws** 



Restriction on Tobacco Products in Vending Machines and Tobacco Product Samples



Passing Laws More Restrictive than T21



Restricting Flavors in Tobacco Products



Impose Excise Tax on Tobacco Products

#### FDA'S TOBACCO REGULATORY ACTIVITIES





## **Review tobacco product** applications

to ensure that new tobacco products meet public health standards

Ensure tobacco manufacturers and retailers follow the law through surveillance, inspections and enforcement



# FDA's Center for Tobacco Products



#### **Educate**

the public, especially youth, about the dangers of using tobacco products

Implement the tobacco control laws through rules & guidances



#### PROGRAMMATIC UPDATES



#### Rules & Guidances

Application Review

Compliance & Enforcement

Public Education



# PROPOSED MENTHOL & FLAVORED CIGAR PRODUCT STANDARDS (APRIL 2022)



# FDA has proposed product standards to:

- Prohibit menthol as a characterizing flavor in cigarettes
- Prohibit all
   characterizing
   flavors, except
   tobacco, in cigars



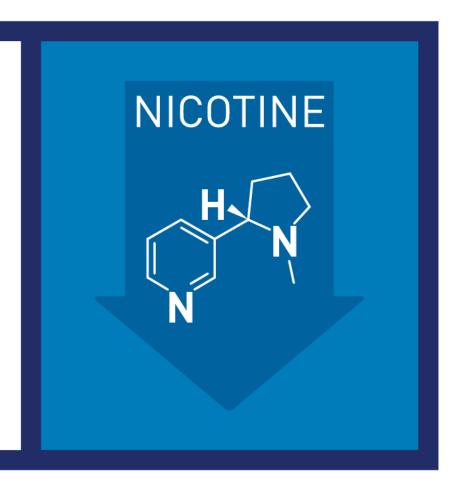


The FDA is committed to issuing the tobacco product standards for menthol in cigarettes and flavored cigars in the coming months.

#### NICOTINE PRODUCT STANDARD



FDA plans to develop a proposed product standard that would establish a maximum nicotine level to reduce the addictiveness of cigarettes and certain other combusted tobacco products



#### PROGRAMMATIC UPDATES



Rules & Guidances

## **Application Review**

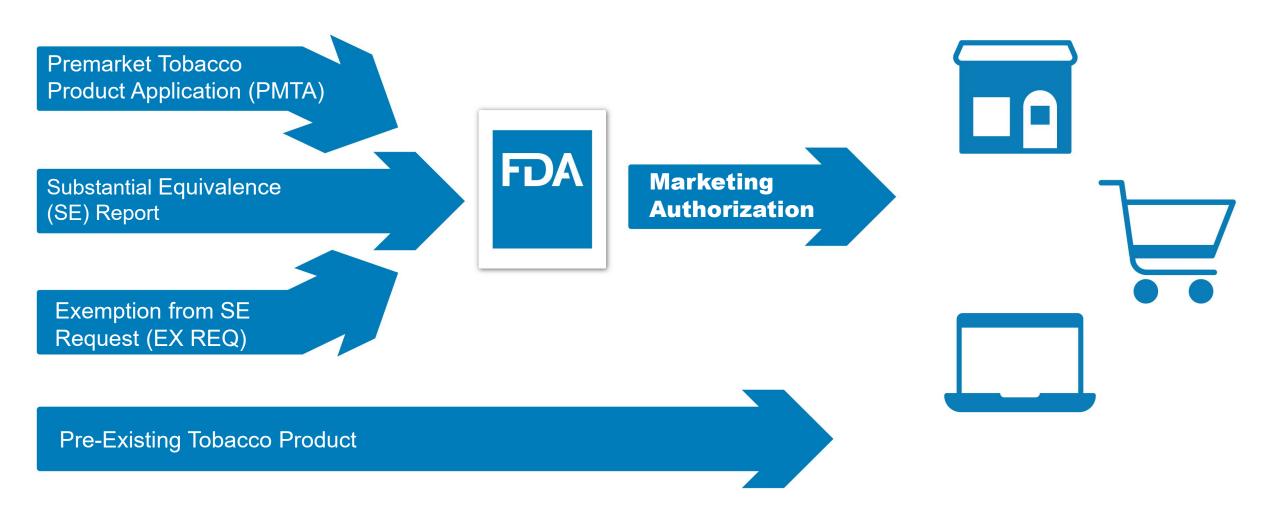
Compliance & Enforcement

Public Education



#### PATHWAYS TO MARKET





#### **AUTHORIZATION VS APPROVAL**





FDA "Authorizes"
Tobacco Products

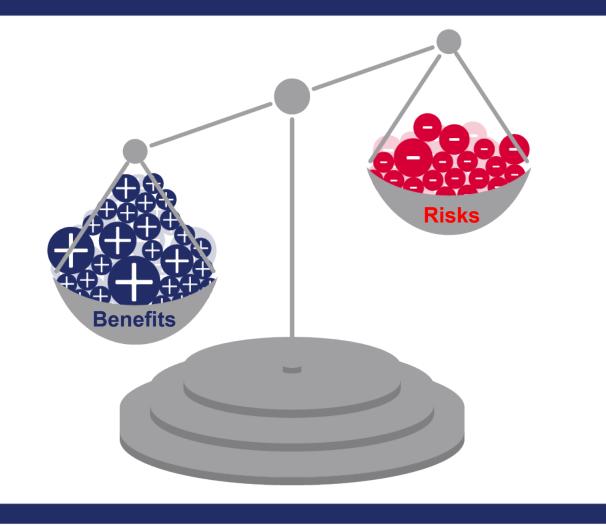


FDA "Approves" Drugs & Devices

#### WEIGHING THE NET PUBLIC HEALTH IMPACT



"Appropriate for the Protection of the Public Health"



#### **MULTI-DISCIPLINARY REVIEW**



SOCIAL SCIENCE



**CHEMISTRY** 





INDIVIDUAL

**HEALTH IMPACT** 

**POPULATION HEALTH IMPACT** 

**MEDICAL** 



**ENVIRONMENTAL** SCIENCE



**ENGINEERING** 



**TOXICOLOGY** 



**BEHAVIORAL AND** CLINICAL **PHARMACOLOGY** 



**MICROBIOLOGY** 



**EPIDEMIOLOGY** 



#### APPLICATION REVIEW STATUS TOTAL: PMTA FY20-23



**Applications received for about** 

26 million products, mostly e-cigarettes



# Action taken on 99% of the applications, including



Marketing authorizations for

23
e-cigarette products

Refuse to accept letters, Refuse to file letters, or Marketing denial orders for





#### PROGRAMMATIC UPDATES



Rules & Guidances

Application Review

Compliance & Enforcement

Public Education



#### CTP COMPLIANCE AND ENFORCEMENT ACTIVITIES





Compliance, Training, Education, and Outreach



Surveillance, Inspections, and Investigations



**Enforcement Actions** 

# **Industry Compliance**

#### ENSURING COMPLIANCE THROUGHOUT THE SUPPLY **CHAIN**











Manufacturer

**Importer** 

**Distributor** 

Retailer

#### **COMPLIANCE & ENFORCEMENT ACTIONS**





Online Investigations

Through August 11, 2023,

# >1,200 Warning Letters

issued through online investigations for various tobacco product violations of the Federal Food, Drug, and Cosmetic Act



Manufacturer

~800 WARNING
LETTERS
(OVER 750 FOR ENDS)

25 CIVIL MONEY
PENALTIES
(ALL ENDS)

6 INJUNCTIONS (ALL ENDS)



Retailers

OVER 128,000 WARNING LETTERS (OVER 20,000 FOR ENDS)

OVER 30,000 CIVIL MONEY PENALTIES (OVER 3,300 FOR ENDS)

221 NO-TOBACCO-SALE ORDERS

#### PROGRAMMATIC UPDATES



Rules & Guidances

Application Review

Compliance & Enforcement

Public Education



#### TOBACCO PREVENTION CAMPAIGNS







587,000

Youth ages 11-19 from **trying** cigarettes, half of whom may have become adult smokers





\$180,000

for each of the up to 293,500 youth **prevented** from becoming established smokers





\$53 BILLION

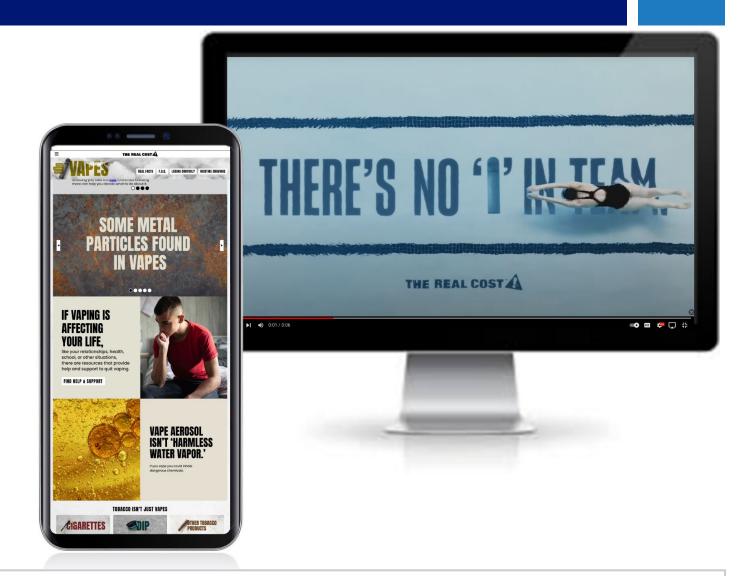
by **reducing** smoking-related costs like, medical care, lost wages, and increased disability



#### THE REAL COST E-CIGARETTE PREVENTION CAMPAIGN



- Started in 2018 as an extension of FDA's The Real Cost smoking prevention campaign
- Addresses the "cost-free" mentality among youth with messages about the health consequences and risk of addiction from using e-cigarettes



#### TOBACCO PREVENTION CAMPAIGNS













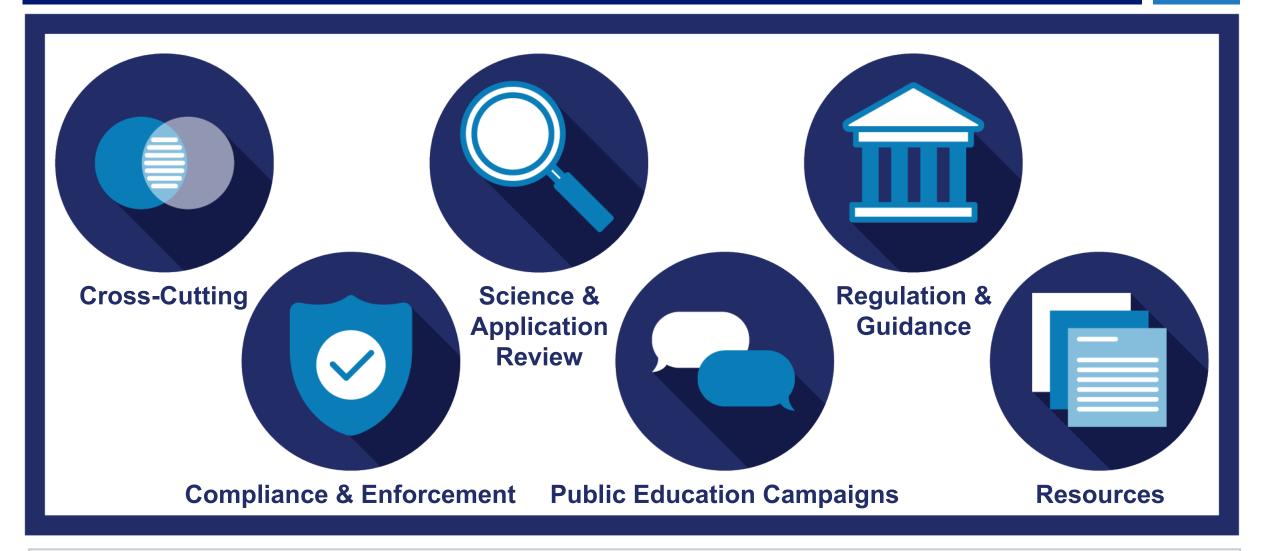


# LOOKING AHEAD



#### EXTERNAL EVALUATION PLANNED ACTIONS





#### **HOW CAN YOU HELP?**



#### Participate in Rulemaking

Make your voice heard. Submit your comments on rules and guidances at Regulations.gov

#### Report Violations and Adverse Experiences

- Tobacco product violations: 877-287-1373 or <a href="mailto:CTPCompliance@FDA.hhs.gov">CTPCompliance@FDA.hhs.gov</a>
- Tobacco-related health, quality problems, & adverse events: https://www.safetyreporting.hhs.gov

#### **Expand FDA's Education Efforts**

Visit <a href="https://digitalmedia.hhs.gov/tobacco">https://digitalmedia.hhs.gov/tobacco</a> to download or order FREE print materials, syndicate web content, and download social media content

#### **Collect Data to Inform Efforts**

Conduct research and publish local/state studies to help inform FDA's efforts

#### **QUESTIONS?**





Call Us

(877) CTP-1373



**Email Us** 

AskCTP@fda.hhs.gov



Follow Us

@FDATobacco

Additional platforms to interact with FDA









@FDA

@FDA

@US\_FDA



### THE PUBLIC HEALTH LAW CENTER





### **COMMERCIAL TOBACCO CONTROL TEAM**









































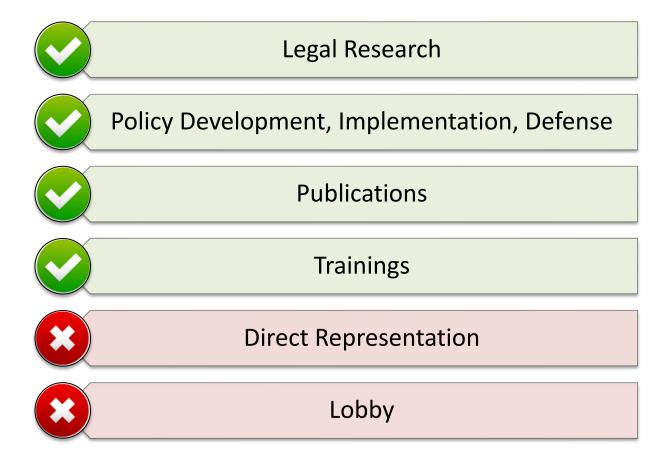








### LEGAL TECHNICAL ASSISTANCE







based on work for First Nations Health Authority at Gathering Wisdom VI

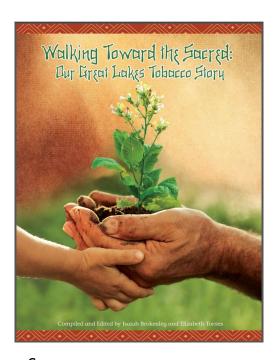




### **COMMERCIAL VS. TRADITIONAL**







Source:
<a href="http://www.glitc.org/">http://www.glitc.org/</a>
forms/Tabacco/
tabacco-booklet-web-.pdf



# TOBACCO CONTROL ACT OF 2009 STATE AND LOCAL AUTHORITY



#### **Preservation of State/Local Authority**

Nothing in the Act limits state/local authority to enact a law "prohibiting the sale . . . of tobacco products."

#### **Preemption of State/Local Laws**

No state/locality may establish "any requirement which is different from . . . any requirement under [the Act] relating to tobacco product standards."

#### **Saving Clause**

The preemption restriction above "does not apply to [state or local] requirements relating to the sale" of tobacco products.



# Federal v. State/Local Authority

#### The FDA

YES: Product standards

- Nicotine yields
- Ingredients, constituents
- How constructed
- Internet sales

#### NO:

- Smoke-free
- Tax
- Ban a class of products (e.g., all cigars)



#### States/Locals

NO: Product standards

#### YES:

- Smoke-free
- Youth access
- Taxes and pricing
- Sales & distribution



### **SMOKE-FREE POLICIES**







### SMOKE-FREE MULTI-UNIT HOUSING

**Equitable Enforcement Strategies** 



There is no safe level of secondhand smoke exposure, whether that exposure happens at work, in public spaces, or within the home. The health problems associated with secondhand smoke exposure in multi-unit housing have been well documented for decades.

Nonetheless, it is only recently that comprehensive public policy measures to address secondhand smoke exposure in multiunit housing have been adopted through government policies. These policies include the smoke-free rule<sup>3</sup> for public housing adopted by the U.S. Department of Housing and Urban Development (HUD) and a growing number of local jurisdictions that have adopted similar protections for residents of multi-unit housing.<sup>4</sup>









# WHO CAN SELL TOBACCO PRODUCTS? WHO CAN THEY SELL THEM TO?

- Overall structure for point-of-sale policies
- Can include minimum legal sale age
- Sets the rules and enforcement provisions







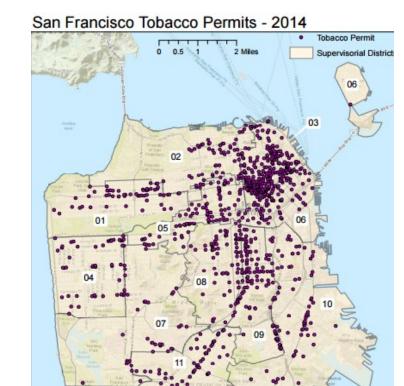
# WHERE TOBACCO PRODUCTS CAN BE SOLD PROXIMITY AND DENSITY

- Proximity to residential areas, schools, retailers
- Internet sales
- Pharmacies
- Cap and reduce the number of licenses









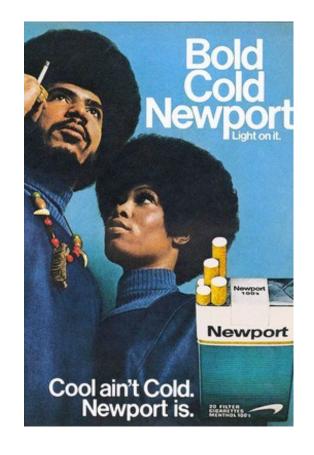
# WHICH PRODUCTS CAN BE SOLD? ENDING THE SALE OF FLAVORS – ESPECIALLY MENTHOL

81% of youth initiate tobacco use with flavored products.

Source: Truth Initiative

Menthol increases initiation, decreases cessation, exacerbates disparities.

Source: Campaign for Tobacco Free Kids





# FLAVORED TOBACCO RESTRICTIONS THE EVOLUTION

















#### TAX AND PRICING POLICIES

- 10% increase in cigarette tax results in a 3-5% decrease in use.
- Youth are 2-3 times more sensitive to price increases.
- Increased quit attempts especially among lower income users.

Sources: Truth Initiative and Tobacconomics.org



"Young people are very price sensitive."

R. J. Reynolds Marketing Report, 1982



#### **NON-TAX PRICING POLICIES**

- •Tobacco is often the cheapest in lowest income communities.
- •Restricting redemption of coupons.
  - -No "sale" prices.
- •Price floors often combined with minimum pack size.
- •Part of strong retailer licensing structure.









# MOVING TO THE END NICOTINE-FREE GENERATION



Brookline, MA Tobacco-Free Generation policy.



Phases out the sale of tobacco products by prohibiting the sale of tobacco to anyone born after January 1, 2000.



# ENDGAME POLICIES ENDING THE SALE



 Beverly Hills, CA passed ordinance prohibiting the sale of all tobacco products except in cigar lounges and by hotel concierges to registered hotel guests.



 Manhattan Beach, CA passed ordinance prohibiting the sale of all tobacco products everywhere in the jurisdiction.



# STATE PREEMPTION – THE DANGER WITHIN A FUNDAMENTAL HEALTH EQUITY ISSUE

- Locally tailored policies are the best policies for addressing health disparities.
- Preemption locks in the status quo and inhibits policy innovation.
- Community involvement helps to develop more thoughtful policies.





grassrootschange.net

# WHY ARE STATE AND LOCAL POLICIES IMPORTANT? PRECISION AND FLEXIBILITY

- The tobacco industry is always ready to pivot.
- "Future-proof" policies.
- Tailored implementation and enforcement.





# **EVOLUTION OF E-CIGARETTES ENSURING THAT TAX POLICY KEEPS UP**















Office 4 - Tropical Peach

Office 4 - Lush Ice

PUBLIC HEALTH LAW CENTER

Source: Campaign for Tobacco-Free Kids

9/14/2023

# **SYNTHETIC NICOTINE PRODUCTS**

#### **Our Mission**

We believe that innovation is the key to creating unforgettable experiences. And innovation is at the heart of what we do. PuffBar provides adult vapers with premium products to elevate life's greatest moments. For us, offering consumers the best choice on the market isn't just a mission - it's a requirement. As America's leading innovator in vape devices, we pride ourselves in setting the bar. And we're committed to raising that bar, one puff at a time.











# **Tobacco Free**

**Better Flavor, Better Experience** 

As a demonstrated pledge to premium quality, Puffs nicotine-based products are created with tobacco-free nicotine. Our nicotine-based products are crafted from a patented manufacturing process, not from tobacco. The result? A virtually tasteless, odorless nicotine without the residual impurities of tobacco-derived nicotine. This dramatically improves flavor while still maintaining the same satisfaction smokers are seeking from their nicotine.



s nicotine-based products are ised products are crafted from a . The result? A virtually tasteless,

obacco-derived nicotine. This the same satisfaction smokers are



#### Fully Licensed & Compliant

Puff Bar is fully licensed and compliant with current tobacco regulations in the United States. Puff Bar is proud to be working directly with state and federal policy makers to create fair rules & regulation as well as assisting in stopping counterfeits from entering

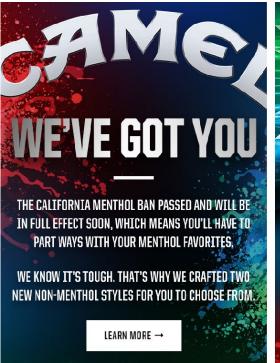


# **NEXT PHASE INTENTIONAL AMBIGUITY**

- "Non-menthol" products cooling sensations.
- Introduced immediately after California's flavored tobacco referendum passed.
- These appear to be flavored products and are marketed to indicate that they're flavored.











9/14/2023



### **CONTACT US**



**651.290.7506** 



publichealthlawcenter@mitchellhamline.edu



www.publichealthlawcenter.org



@phealthlawctr



facebook.com/publichealthlawcenter



# Stay Involved









Please complete our survey after the webinar ends. Thank you!



Dedicated to **ZERO** Tobacco Deaths

### **NEXT WEBINAR:**

Thursday, October 5, 2023

2023 U.S. Tobacco Industry Interference Report Launch

# **Resource to Use**



U.S. Tobacco
Lobbyist & Lobbying
Firm Registration
Tracker 2023

ash.org/tobacco-money